



## American Journal of Basic and Applied Sciences (ISSN:2637-6857)



# Ge isotopic equilibrium fractionation between Ge-containing ferrihydrite and the aqueous solution

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### ABSTRACT

Since Ge has a strong affinity for Fe, iron oxyhydroxide is assumed to be one of the potential “missing sinks” of Ge. Ferrihydrite is commonly found in soil and sediments and has a strong affinity for heavy metals. In this study, the first principle method based on density functional theory was used to optimize the geometry of Ge-containing ferrihydrites and  $\text{H}_4\text{GeO}_4$  aqueous solution molecular clusters at B3LYP/6-311G(d) level. Solvation effects are treated by “water-droplet” method. Finally, at 298.15 K we obtained the Ge isotopic equilibrium fractionation between Ge-containing ferrihydrites and  $\text{H}_4\text{GeO}_4$  aqueous solution,  $\Delta_{74/70}\text{Ge}_{\text{ferrihydrite-aq}} = -1.97\text{‰}$ . It enriches the document of Ge isotopic fractionation and helps to assist the study of Ge global cycle.

**Keywords:** Ge isotopes; isotopic equilibrium fractionation; first-principles calculation; ferrihydrite

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### How to cite this article:

Peng-dong Wang. Ge isotopic equilibrium fractionation between Ge-containing ferrihydrite and the aqueous solution. American Journal of Basic and Applied Sciences, 2022; 5:25



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## Introduction

In recent years, thanks to the improvement of accurate isotope measuring instruments like multiple-collector inductively coupled plasma mass spectrometry (MC-ICP-MS) and the development of precisely analytical methods, non-traditional stable isotopes can be determined for various geological samples, including Si isotopes, Mg isotopes, Fe isotopes, Ge isotopes and so on. In nature, there are five stable Ge isotopes,  $^{70}\text{Ge}$  (20.45%),  $^{72}\text{Ge}$  (27.41%),  $^{73}\text{Ge}$  (7.77%),  $^{74}\text{Ge}$  (36.58%), and  $^{76}\text{Ge}$  (7.79%) (Rosman and Taylor, 1998). In general, Ge isotopic composition of the sample is expressed as the deviation ‰ from the standard like Aristar, JMC, Spex, Aldrich and NIST SRM3120a, i.e.,  $\delta^{74}\text{Ge} (\text{‰}) = [({}^{74}\text{Ge}/{}^{70}\text{Ge})_{\text{sample}}/({}^{74}\text{Ge}/{}^{70}\text{Ge})_{\text{standard}} - 1] \times 1000$ . According to previous studies, the Ge isotopic compositions of river water ( $\delta^{74}\text{Ge} = 2.0\text{‰} - 5.6\text{‰}$ ) and seawater ( $\delta^{74}\text{Ge} = 2.93\text{‰} \sim 3.71\text{‰}$ ) (Guillermic et al., 2017; Jotautas Baronas et al., 2017) compared with the continental crust rocks ( $\delta^{74}\text{Ge} = 0.59 \pm 0.18\text{‰}$ ) (Escoubé et al., 2012; Rouxel et al., 2006) has heavier Ge isotopic compositions. In order to achieve a global Ge isotope balance, there must be a reservoir relatively being enriched in light Ge isotopes in the surface of the earth. Beside organic matter (Li et al., 2009; Qi et al., 2011) and sulfides (Escoubé et al., 2012; Li et al., 2009; Meng et al., 2015), Fe oxyhydroxides are another important candidate. There have been two studies on Ge isotopic equilibrium fractionation during adsorption on Fe oxyhydroxide surfaces (Li and Liu, 2010; Pokrovsky et al., 2014). However, previous EXAFS data showed that Ge occurred in the ferrihydrite structure by filling the tetrahedral vacancy and coordinating to four

edge-sharing  $\text{FeO}_6$  trimers through sharing a common oxygen ( $\equiv\text{Ge}-\text{O}-\text{Fe}\equiv$ ) (Paktunc et al., 2013). The local structure around Ge mimics a Keggin-like motif. Is the Ge isotopic equilibrium fractionation between internal Ge and the solution obviously different from that generated during adsorption on surfaces? It needs further works to resolve this problem.

In this study, we selected ferrihydrite, a ubiquitous Fe oxyhydroxide in the soil and sediment. The first-principles calculation method based on the density functional theory (DFT) was employed to accurately quantify the equilibrium fractionation between internal-Ge-containing ferrihydrite and the aqueous solution.

## 1. Theory and method

In the present study, molecular clusters were optimized with the hybrid functional B3LYP, as the exchange correlation function, and all electron basis set 6-311G(d), which was used to describe the wave function of the system (Blaudeau et al., 1997; McLean and Chandler, 1980). When the geometric optimization is completed, the harmonic vibrational frequency is analyzed. To determine the absence of imaginary frequency for each set of optimized geometric configurations, it is necessary to ensure that the configuration at least corresponds to the local minima on the potential energy surface. All geometric optimization, electronic energy calculation and harmonic vibrational frequency analyses were completed by Gaussian 09 code (Frisch et al., 2010).

### 1.1 Calculation of isotope equilibrium fractionation factor

For an ideal system of isotope exchange reactions between the compound and the ideal single-atom gas:



Which  $\text{X}'$  denotes an ideal single-atom gas containing heavy isotopes,  $\text{X}$  represents an ideal

single-atom gas containing light isotopes, AX for a compound with a light isotope, AX' for a compound with a heavy isotope.

Bigeleisen and Mayer, (1947) and Urey, (1947) quantitatively established the enrichment extent of heavy isotopes in compounds relative to the

ideal single-atom gas, that is, the reduced partition function ratio (RPFR). This parameter is mainly based on the harmonic vibrational frequencies of the compound before and after isotopic substitution, which can be expressed as follows:

$$\text{RPFR}_{\text{AX}} = \prod \frac{u'}{u} \left( \frac{e^{-\frac{u'}{2}}}{e^{-\frac{u}{2}}} \right) \left( \frac{1-e^{-u}}{1-e^{-u'}} \right) \quad (2)$$

Which  $u=hc\omega/kT$ ,  $h$  represents the Planck constant;  $c$  is the velocity of light;  $\omega$  denotes the harmonic vibrational frequency ( $\text{cm}^{-1}$ );  $k$  is the Boltzmann constant;  $T$  represents the absolute temperature in Kelvin. Specific calculation formula can refer to He et al. (2016) and Liu et al. (2010). If only one isotope is substituted in the compound, the RPFR value is equal to the  $\beta$  factor, which is an isotopic fractionation between a

compound and an ideal atomic gas (Richet et al., 1977). The more the RPFR is greater than one, the more heavy isotopes are enriched in the compound.

When the RPFRs of two phases A and B in equilibrium are known, the isotopic fractionation factor can be calculated out by the ratio of their RPFRs (or  $\beta$  factors):

$$\alpha_{\text{A-B}} = \frac{\text{RPFR}_{\text{A}}}{\text{RPFR}_{\text{B}}} = \frac{\beta_{\text{A}}}{\beta_{\text{B}}} \quad (3)$$

Geochemists usually express the isotope fractionation with  $\Delta$ , i.e.,  $\Delta \approx 1000 \ln \alpha$ . Meanwhile,  $\Delta$  value can be experimentally determined by the  $\delta$  difference of two phases in equilibrium ( $\Delta_{\text{A-B}} = \delta_{\text{A}} - \delta_{\text{B}}$ ). This is an important link between theoretical calculation and experimental

determination.

Meanwhile, the minimizing root-mean-square error (RMSE) method is used to determine the plateau of RPFR for the Ge-containing species (Ji et al., 2022), calculated as follows:

$$\text{RMSE} = \sqrt{\frac{\sum_1^n (X-Y)^2}{n}} \quad (4)$$

Which  $X$  represents each RPFR value, and  $Y$  denotes the expected plateau. The RMSE value changes with the  $Y$  value. When the RMSE value reaches a minimum, the corresponding  $Y$  value is considered as the plateau of the Ge-containing species.

## 1.2 Construction of molecular cluster model

In natural water including seawater and river water, Ge mainly exist in the form of  $\text{H}_4\text{GeO}_4 \cdot (\text{H}_2\text{O})_n$ . In this study, we employed the "water-droplet" to simulate  $\text{H}_4\text{GeO}_4$  aqueous solutions (Liu and Tossell, 2005). When

constructing the initial configurations of aqueous  $\text{H}_4\text{GeO}_4$ , we placed single  $\text{H}_4\text{GeO}_4$  molecules at the center of the molecular cluster, and added six water molecules to cover it. The added water molecules were placed in the bond-forming distance of hydrogen bonds (ca. 1.8 Å - 2.1 Å). We carried out geometric optimization, extracted harmonic vibrational frequencies and calculated RPFR values. We continued to add six water molecules to the optimized configuration to build up the initial configurations of the next group of solutions, and then optimized them. This

treatment was repeated until the internal  $\text{H}_4\text{GeO}_4$  were completely covered by several layers of water molecule and the RPFR values converged to the plateau.

We constructed neutral Ge-containing Ferrihydrite by replacing the  $^{\text{IV}}\text{Fe}$  in the Keggin ion  $[\text{FeO}_4(^{\text{VI}}\text{Fe}(\text{OH})_2(\text{H}_2\text{O}))_{12}]^{7+}$  with Ge and

deprotonating, and added water molecules in the outer-sphere (Das, 2018). For the notorious character of Fe-containing systems, we compared the relative electronic energy of Ge-containing ferrihydrite with different spin multiplicity (Table 1), and finally determined the most suitable spin multiplicity  $S=61$ .

**Table 1** The relative electronic energy differences among Ge-containing ferrihydrites with different spin multiplicities.

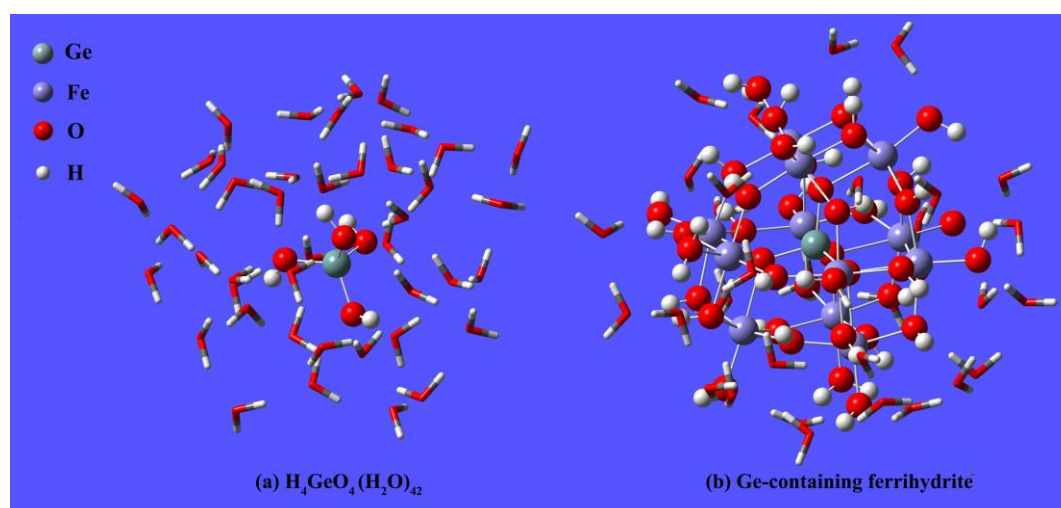
Spin multiplicity	Relative electronic energy
S=59	21 $\text{KJmol}^{-1}$
S=61	0
S=63	398 $\text{KJmol}^{-1}$

## 2. Results and discussion

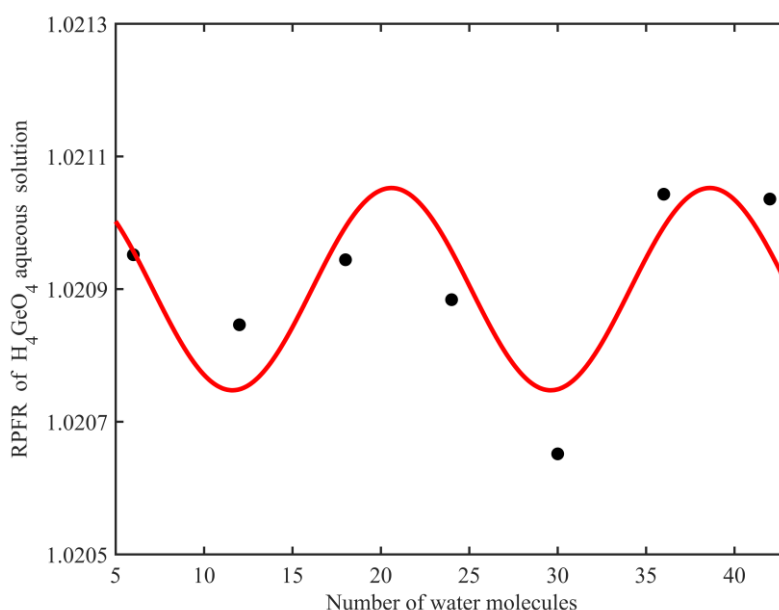
The optimized geometric configuration was shown in Fig.1. The average Ge-O bond lengths of the optimized  $\text{H}_4\text{GeO}_4$  aqueous solution and Ge-containing ferrihydrites were 1.77 Å and 1.78 Å, consistent with Li et al. (2009) theoretical calculations (1.76 - 1.78 Å) and Paktunc et al. (2013) experimental results (1.76 Å), respectively. This good agreement ensured the correctness of Ge isotopic equilibrium fractionation factor to some extent.

According to Equation (2), we calculated the RPFR values of  $\text{H}_4\text{GeO}_4$  aqueous solution at

298.15K. The average of RPFR was selected when identical number of water molecules occurring in the hydrosphere. When the number of water molecules in the hydration layers gradually increases (up to 42 water molecules, Fig.1a), the value of RPFR shows regular changes, fluctuating up and down based on a certain value, which is the plateau value (Fig.2). The minimum root mean square method (Equation (4)) was used to search the exact plateau value. Finally, the plateau value of the RPFR values of  $\text{H}_4\text{GeO}_4$  aqueous solution was 1.0209.



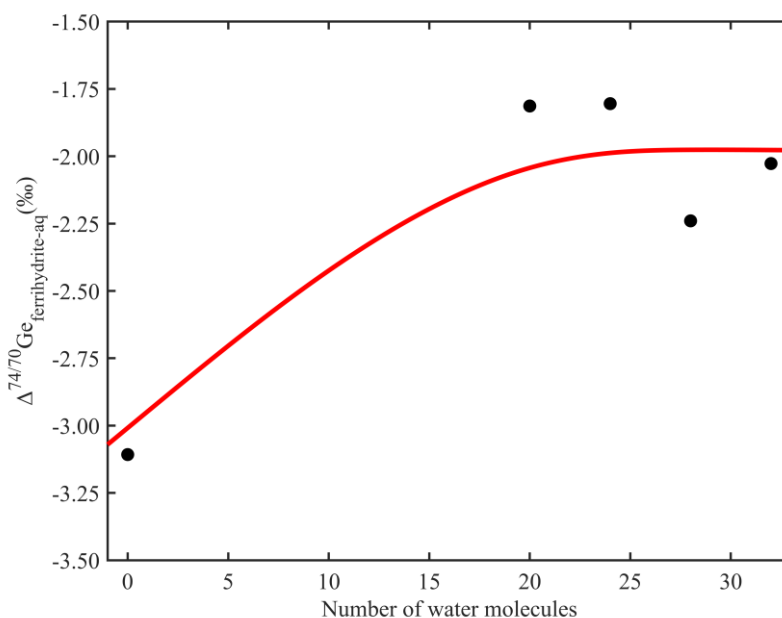
**Fig.1** Optimized geometrical structures of  $\text{H}_4\text{GeO}_4$  aqueous solution and Ge-containing ferrihydrite



**Fig.2** Variations in the RPFR value of  $H_4GeO_4$  aqueous solution with varying numbers of water molecules

Similarly, we also added water molecules to surround the ferrihydrite cluster as its surface solvent. We calculated the Ge isotopic equilibrium fractionation between Ge-containing ferrihydrites and  $H_4GeO_4$  aqueous solution ( $\Delta^{74/70}Ge_{ferrihydrite-aq}$ ) at 298.15 K. When the number of water

molecules in the hydration layers gradually increases (up to 32 water molecules, Fig.1b), the value of  $\Delta^{74/70}Ge_{ferrihydrite-aq}$  shows converged (Fig.3). Finally, we determined the plateau value of  $\Delta^{74/70}Ge_{ferrihydrite-aq}$  as -1.97‰.



**Fig.3** Variations in the  $\Delta^{74/70}Ge_{ferrihydrite-aq}$  with varying numbers of water molecules

Our results show that Ge isotopes significantly fractionate between Ge-containing ferrihydrite and  $H_4GeO_4$  aqueous solution, and light Ge

isotopes will be preferentially enriched in ferrihydrite precipitating from the solution. Li and Liu (2010) calculated the Ge isotopic equilibrium

fractionation during adsorption onto Fe (III)-oxyhydroxide surfaces as about -1.7‰, consistent with our result (-1.97‰). Fortunately, Ge isotope fractionation experimentally determined for Ge adsorption on goethite ( $-1.7 \pm 0.1\text{‰}$ ) also confirmed our calculations (Pokrovsky et al., 2014).

### 3. Conclusion

In this study, we optimized the geometric configurations of the Ge-containing ferrihydrites and  $\text{H}_4\text{GeO}_4$  aqueous solution by the first-principles calculation method, and finally at 298.15 K obtained the Ge isotopic equilibrium fractionation factor between Ge-containing ferrihydrites and  $\text{H}_4\text{GeO}_4$  aqueous solution was -1.97‰, in good agreement with previous theoretical and experimental data.

### Acknowledgements

This work was financially supported by the Hebei Natural Sciences Foundation (Nos. D2020402004 and D2021402020), Hebei Education Department Key Program (No. ZD2018086), and State Natural Sciences Foundation (No. 41603011).

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